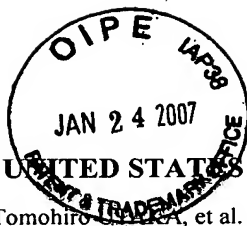


Docket No. 296396US0PCT



IFx4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tomohiro Ueda, et al.

SERIAL NO: 10/593,815

GAU: 2874

FILED: September 22, 2006

EXAMINER:

FOR: PHOTSENSITIVE RESIN COMPOSITION FOR OPTICAL WAVEGUIDE FORMATION AND OPTICAL WAVEGUIDE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449, some of which are cited in the attached International Search Report and Written Opinion. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available unedited computer-generated English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

Paul J. Killos  
Registration No. 58,014

Customer Number

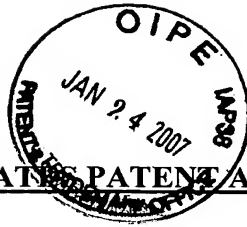
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DOCKET NO.: 296396US0PCT

Page 1 of 1



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF:

Tomohiro UTAKA, et al.

SERIAL NO: 10/593,815

GROUP: 2874

FILED: September 22, 2006

EXAMINER:

FOR: PHOTSENSITIVE RESIN COMPOSITION FOR OPTICAL WAVEGUIDE  
FORMATION AND OPTICAL WAVEGUIDE

**STATEMENT OF RELEVANCY**

**References AS to AU on Form PTO-1449:**

These references are described as prior art documents in the PCT specification.

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

296396US0PCT

SERIAL NO.

10/593,815

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Tomohiro UTAKA, et al.

FILING DATE

September 22, 2006

GROUP

2874

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	2003-195078	07/09/2003	Japan		X
	AP	2003-202438	07/18/2003	Japan (with English abstract and unedited computer-generated English translation)	X	
	AQ	2003-147045	05/21/2003	Japan (with English abstract and unedited computer-generated English translation)	X	
	AR	2003-177260	06/27/2003	Japan (with English abstract and unedited computer-generated English translation)	X	
	AS	9-243870	09/19/1997	Japan (with English abstract)		X
	AT	2000-356720	12/26/2000	Japan (with English abstract)		X
	AU	8-271746	10/18/1996	Japan (with English abstract)		X
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.